

# FRAUD PREVENTION CHECKLIST

**The most cost-effective way to limit fraud losses is to prevent fraud from occurring in the first place.** This checklist is designed to help you test the effectiveness of your fraud prevention measures. For more information and resources, [visit FraudWeek.com](https://www.fraudweek.com).

- Is ongoing anti-fraud training provided to all employees of your organization?
- Is an effective fraud reporting mechanism in place?
- Is possible fraudulent conduct aggressively sought out, rather than dealt with passively?
- Is the tone at the top one of honesty and integrity?
- Are fraud risk assessments performed to proactively identify the organization's vulnerabilities to fraud?
- Are strong anti-fraud controls in place and operating effectively?
- Does the internal audit department, if present, have adequate resources and authority to operate effectively and without undue influence from management?
- Are employee support programs in place?
- Is an open-door policy in place that allows employees to speak freely about pressures?
- Are regular anonymous surveys conducted to assess employee morale?

